

| General information about company | |
|--|-------------------------|
| Scrip code | 540175 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE964R01013 |
| Name of the company | REGENCY FINCORP LIMITED |
| Whether company is SME | Yes |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2022 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

| Declaration | | | | | |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | Yes | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 2 | 1334726 | | | 1334726 | 24.98 | 1334726 | | 1334726 | 24.98 |
| (B) | Public | 1464 | 4008138 | | | 4008138 | 75.02 | 4008138 | | 4008138 | 75.02 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying | | | | | | | | | | |

| | | | | | | | | | | | |
|------|--------------------------------|------|---------|--|--|---------|-----|--|---------|--|-------------|
| | DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1466 | 5342864 | | | 5342864 | 100 | | 5342864 | | 5342864 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 24.98 | 1334726 | 100 | | | 438174 |
| (B) | Public | | | | 75.02 | 773859 | 19.31 | | | 3992538 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | 2108585 | 39.47 | | | 4430712 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|-----|--|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 1334726 | | | 1334726 | 24.98 | 1334726 | | 1334726 | 24.98 |
| | Sub-Total (A)(1) | 2 | 1334726 | | | 1334726 | 24.98 | 1334726 | | 1334726 | 24.98 |
| (2) | Foreign | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter | 2 | 1334726 | | | 1334726 | 24.98 | 1334726 | | 1334726 | 24.98 |

| | | | | | | | | | | | |
|--|---|------|---------|--|--|---------|-------|---------|--|---------|-------|
| Group (A)=(A)(1)+(A)(2) | | | | | | | | | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1405 | 1553695 | | | 1553695 | 29.08 | 1553695 | | 1553695 | 29.08 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 39 | 2386262 | | | 2386262 | 44.66 | 2386262 | | 2386262 | 44.66 |
| (e) | Any Other (specify) | 20 | 68181 | | | 68181 | 1.28 | 68181 | | 68181 | 1.28 |
| Sub-Total (B)(3) | | 1464 | 4008138 | | | 4008138 | 75.02 | 4008138 | | 4008138 | 75.02 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 1464 | 4008138 | | | 4008138 | 75.02 | 4008138 | | 4008138 | 75.02 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 1466 | 5342864 | | | 5342864 | 100 | 5342864 | | 5342864 | 100 |
| Total (A+B+C) | | 1466 | 5342864 | | | 5342864 | 100 | 5342864 | | 5342864 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|--|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|--|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| (a) | | | | 24.98 | 1334726 | 100 | | | 438174 | |
| Sub-Total (A)(1) | | | | 24.98 | 1334726 | 100 | | | 438174 | |
| (2) | Foreign | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 24.98 | 1334726 | 100 | | | 438174 | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | |
| (a(i)) | | | | 29.08 | 149997 | 9.65 | | | 1538095 | |
| (a(ii)) | | | | 44.66 | 623862 | 26.14 | | | 2386262 | |

| | | | | | | | | | |
|--|---|--|--|-------|---------|-------|--|--|---------|
| (e) | | | | 1.28 | 0 | 0 | | | 68181 |
| Sub-Total (B)(3) | | | | 75.02 | 773859 | 19.31 | | | 3992538 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 75.02 | 773859 | 19.31 | | | 3992538 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 2108585 | 39.47 | | | 4430712 |
| Total (A+B+C) | | | | 100 | 2108585 | 39.47 | | | 4430712 |

| Individuals/Hindu undivided Family | | | |
|---|------------|--------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Name of the Shareholders (I) | NEHA ABROL | GAURAV KUMAR | Click here to go back |
| PAN (II) | AFBPA6503Q | BRJPK2015C | Total |
| No. of fully paid up equity shares held (IV) | 386281 | 948445 | 1334726 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 386281 | 948445 | 1334726 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.23 | 17.75 | 24.98 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 386281 | 948445 | 1334726 |
| Class eg:y | | | |
| Total | 386281 | 948445 | 1334726 |
| Total as a % of Total Voting rights | 7.23 | 17.75 | 24.98 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |

| | | | |
|--|----------|----------|---------|
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 7.23 | 17.75 | 24.98 |
| Number of Locked in shares (XII) | | | |
| No. (a) | 386281 | 948445 | 1334726 |
| As a % of total Shares held (b) | 100 | 100 | 100 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 41453 | 396721 | 438174 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter | Promoter | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------------|--------------|-------------|-------------------------------|----------------------------|-----------------------|------------------|
| Name of the Shareholders (I) | JAIMAL SINGH WADHWA | SPARSH ABROL | RASHU SARIN | JAYSHREEBEN JAYESHBHAI MISTRY | THAKORBHAI VINUBHAI MISTRY | RAVINDER KUMAR SHARMA | KIRIT G MARFATIA |
| PAN (II) | AADPW3338E | CHLPA1123L | BXBPS4765D | ASOPM2533Q | AOQPM2375C | AHOPS4366E | AAQPM5009H |
| No. of fully paid up equity shares held (IV) | 180615 | 68061 | 74914 | 63089 | 121808 | 68966 | 156437 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 180615 | 68061 | 74914 | 63089 | 121808 | 68966 | 156437 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.38 | 1.27 | 1.4 | 1.18 | 2.28 | 1.29 | 2.93 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 180615 | 68061 | 74914 | 63089 | 121808 | 68966 | 156437 |

| | | | | | | | |
|--|--------|-------|-------|-------|--------|-------|--------|
| Class eg:y | | | | | | | |
| Total | 180615 | 68061 | 74914 | 63089 | 121808 | 68966 | 156437 |
| Total as a % of Total Voting rights | 3.38 | 1.27 | 1.4 | 1.18 | 2.28 | 1.29 | 2.93 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.38 | 1.27 | 1.4 | 1.18 | 2.28 | 1.29 | 2.93 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | 0 | 0 | 41379 | 0 | 0 | 68966 | 86207 |
| As a % of total Shares held (b) | 0 | 0 | 55.24 | 0 | 0 | 100 | 55.11 |
| Number of equity shares held in dematerialized form (XIV) | 180615 | 68061 | 66294 | 63089 | 121808 | 68966 | 156437 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| | | | | |
|--|-----------------------|---------------------|--------------|---------------------------------------|
| Serial No. | 8 | 9 | 10 | |
| Name of the Shareholders (I) | INDERJEET KAUR WADHWA | BRAHAM KUMAR DHUPAR | AVNEET SINGH | Click here to go back |
| PAN (II) | AAHPW5426H | AAGPD4754L | FXZPS9036K | Total |
| No. of fully paid up equity shares held (IV) | 331558 | 392828 | 61090 | 1519366 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository | | | | |

| | | | | |
|--|--------|--------|-------|---------|
| Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 331558 | 392828 | 61090 | 1519366 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.21 | 7.35 | 1.14 | 28.44 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 331558 | 392828 | 61090 | 1519366 |
| Class eg:y | | | | |
| Total | 331558 | 392828 | 61090 | 1519366 |
| Total as a % of Total Voting rights | 6.21 | 7.35 | 1.14 | 28.44 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 6.21 | 7.35 | 1.14 | 28.44 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 0 | 392828 | 0 | 589380 |
| As a % of total Shares held (b) | 0 | 100 | 0 | 38.79 |
| Number of equity shares held in dematerialized form (XIV) | 331558 | 48000 | 61090 | 1165918 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

| | | | | |
|----------------------------|--------|---------------------|---------------------|----------|
| Any Other (specify) | | | | |
| Serial No. | 1 | 2 | 3 | 4 |
| | Bodies | Non-Resident Indian | Non-Resident Indian | Clearing |

| Category | Corporate | (NRI) | (NRI) | Members | |
|--|-----------|----------|----------|----------|---------------------------------------|
| Category / More than 1 percentage | Category | Category | Category | Category | |
| Name of the Shareholders (I) | | | | | Click here to go back |
| PAN (II) | | | | | Total |
| No. of the Shareholders (I) | 10 | 2 | 2 | 6 | 20 |
| No. of fully paid up equity shares held (IV) | 54048 | 150 | 12808 | 1175 | 68181 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 54048 | 150 | 12808 | 1175 | 68181 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.01 | 0 | 0.24 | 0.02 | 1.27 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 54048 | 150 | 12808 | 1175 | 68181 |
| Class eg:y | | | | | |
| Total | 54048 | 150 | 12808 | 1175 | 68181 |
| Total as a % of Total Voting rights | 1.01 | 0 | 0.24 | 0.02 | 1.27 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.01 | 0 | 0.24 | 0.02 | 1.27 |

| Number of Locked in shares (XII) | | | | | |
|---|-------|-----|-------|------|-------|
| No. (a) | 0 | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 54048 | 150 | 12808 | 1175 | 68181 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence